



BBI JU

INFO DAY 2019

12 April 2019

*Alcide de Gasperi room, Charlemagne building,
European Commission, Brussels*

#BBInfoday





Session 3 | Participating in the Call for proposals 2019

Call management rules and conditions

Thomas Vyzikas

Project Officer

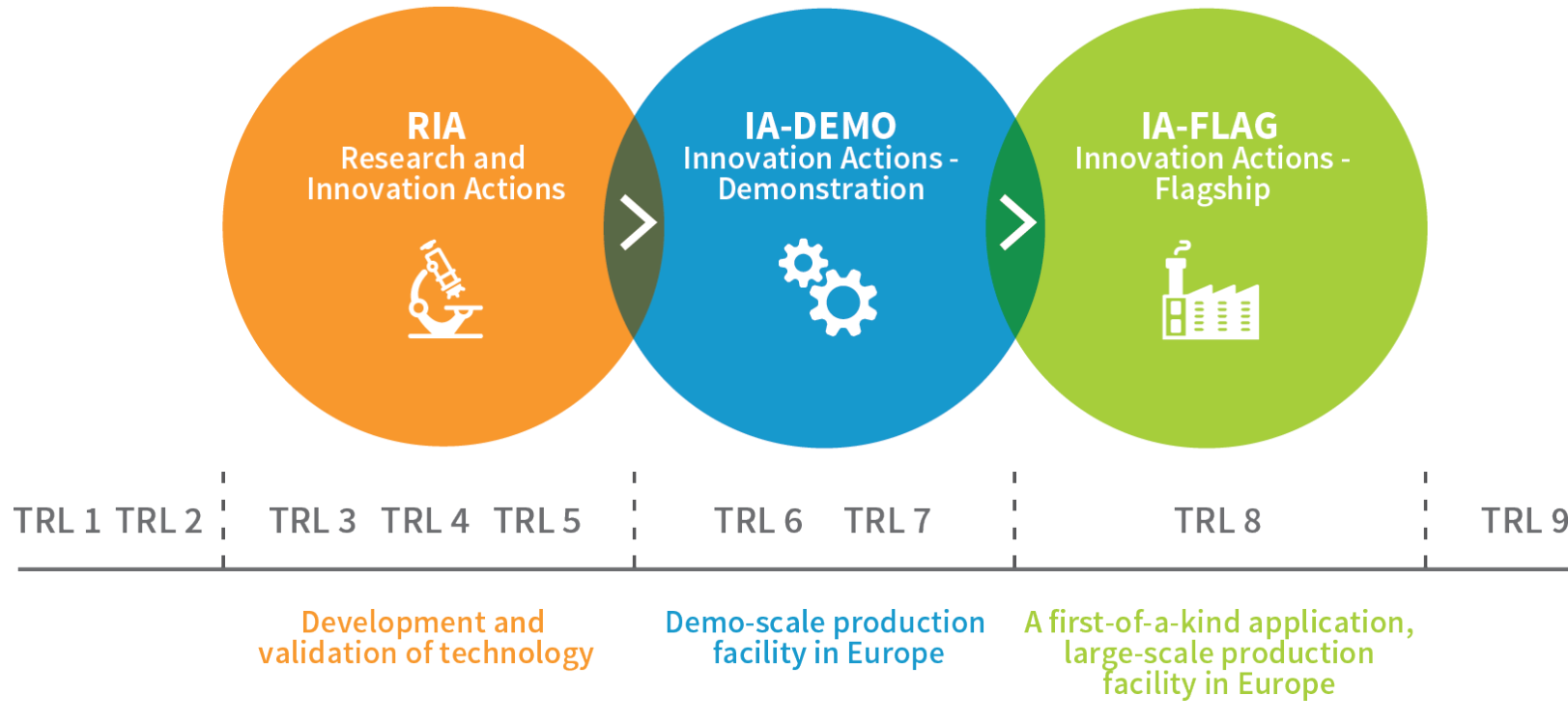
Bio-based Industries Joint Undertaking (BBI JU)

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How does BBI JU implement its objectives?

BBI JU funds 3 types of actions: RIAs, IAs and CSAs



CSA

Coordination and Support Actions

-

no link to TRLs*

*TRL = Technology Readiness Levels



Legal & and financial aspects

BBI JU Calls follow **H2020 rules for participation**, except:

- **No BBI JU funding for large industries in RIA & CSA**

All information in:

- **BBI JU Annual Work Plan 2019 (AWP)**: legal and financial information for submitting a proposal to a call
- **BBI JU Guide for Applicants (GfA)**: details on how to structure and prepare your proposal
- **Frequently Asked Questions (FAQ)** document



<https://www.bbi-europe.eu/participate/call-proposals-2018>

Open Science – Open Innovation – Open to the world

The background of the slide is a vibrant blue gradient with a central focus on a globe. A larger, semi-transparent globe is positioned at the bottom center, with a smaller, more detailed globe resting on top of it. The smaller globe is centered over the letter 'I' in the word 'HORIZON'. The text 'HORIZON 2020' is written in a clean, white, sans-serif font across the middle of the image. The overall aesthetic is futuristic and global, with light rays emanating from behind the globes.

HORIZON 2020

www.bbi-europe.eu



Rules for participation 1/2



Who can apply?

- Any **'legal entity'**
natural or legal person created & registered under national law
e.g. any company, big or small, research organisations, universities, non-governmental organisations, etc.
- From **all over the world**
Also international organisations or participants from non-EU/H2020 Associated Countries can participate



Consortium composition?

- **CSA:** can be carried out by a single 'legal entity'
- **RIA and IA:** at least 3 'legal entities' established in at least 3 different Member States and/or Associated Countries
 - *The entities must be independent from each other

RIA & IA \geq 3

CSA \geq 1



Open Access



Open access

- **Contractual obligation (Art 29.2 AMGA) for all scientific publications** from Horizon 2020 actions.

Open access to research data

- Data generation, verification, re-use, storage
- Open research data sharing applies to the data needed to validate of scientific publications
- Automatically opted-in (Art. 29.3 AMGA), but can opt-out at any stage
- Data Management Plan for M6 and updates
- Not evaluated more favourably / not penalised for opting out.

Eligibility of costs: costs related to open access publications, data management and data sharing are eligible for reimbursement during the project duration.

More Info / Guidelines:

http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/oa_pilot/h2020-hi-oa-pilot-guide_en.pdf



Eligibility for funding

Legal entities from all over the world can participate in H2020 and BBI JU Calls, but which are eligible for funding?

- **Automatically eligible for funding:**
 - EU and Horizon 2020 Associated Countries
 - Countries listed in [Annex A of the Horizon 2020 Work Programmes](#)
- **NOT automatically eligible for funding:**
 - Industrialised countries and emerging economies: participants are not eligible BUT some countries have forms of co-funding mechanisms



Funding rates per type of action for different entities

Participant	RIA	IA	CSA
Large Industries	0%	70%	0%
SMEs	100%	70%	100%
Non profit, legal entities (Universities, RTOs, NGOs etc.)	100%	100%	100%



Consortium own contribution

Definitions & templates in the GfA!

Leverage of private investments is crucial!

The consortium own contribution in BBI JU projects consists of:

- **In-kind contributions**

The eligible costs incurred for implementing the project minus the funding requested from BBI JU

- **Financial transfers**

Financial transfers (in-cash) between partners of a BBI JU project to implement part of the action, outside the budget table of the project

- **Additional investments**

Investments (e.g. infrastructure, facilities, durable equipment, etc.) that contribute to achieving the objectives of the projects and the BBI JU initiative (maximize impact), outside the budget table of the project and not limited to the duration of the project.



Example IKOP: RIA & CSA

Funding Rates 100% (0% for large industry)*

Participant	(A) Direct personnel costs/€	(B) Other direct cost/€	(F) Indirect Costs/€ =0.25 (A+B)	(H) Total estimated [eligible] costs/€	(I) Reimb rate (%)	(J) Max grant (=H*I)	(K) Requested grant/€
RTO	400	300	175	875	100	875	875
Large Industry	500	1500	500	2500	0	0	0
SME	350	50	100	500	100	500	400
University	300	50	87.5	437.5	100	437.5	437.5
Total				3562.5		1812.5	1712.5

* as per Derogation from H2020 Rules of Participation

Consortium own contribution to the project is total costs not reimbursed by the BBI JU.

IKOP:1850

Participants can request a lower funding, thereby increasing the consortium own contribution

Example IKOP: IA

Funding Rates 70% (100% for non-profit org)*

Participant	(A) Direct person- nel costs/€	(B) Other direct cost/€	(F) Indirect Costs/€ =0.25 (A+B)	(H) Total estimated [eligible] costs/€	(I) Reimb rate (%)	(J) Max grant (=H*I)	(K) Reque- sted grant/€
University	200	300	125	550	100	550	450
1. Large Industry	4000	6000	2500	12500	70	8750	4500
2. Large Industry	1000	1000	500	2500	70	1750	1750
SME	350	650	250	1250	70	875	675
Total				14750		11925	7375

* H2020 Rules of Participation

Participants can request lower funding, increasing the consortium own contribution

IKOP:7375 (50%)

SME & large industry partners can receive 70% and the University can receive 100% of the total eligible costs.



Example Financial Transfers

Part A

5 - Call-specific questions

Cash Contributions

Does the proposal foresee cash contributions?		<input checked="" type="radio"/> Yes <input type="radio"/> No
Name of the entities contributing cash	Large Industry 1; SME 1	
Total amount including all entities' cash contributions	<input type="text" value="550,000"/>	

Part B: Section 3.4 'Resources to be committed'

Beneficiary providing financial transfers	Beneficiary receiving financial transfers	Amount of financial transfers (€)	List of activities to be performed by the receiving beneficiary
Large industry 1	SME 1	€ 250, 000	Upscale production of ...
Large industry 2	University of A	€ 300, 000	Use of laboratory facilities

Example Additional Investments

Part A

Additional Investments

Does the proposal foresee additional investments?		<input checked="" type="radio"/> Yes <input type="radio"/> No
Name of the entities contributing additional investments	Large Industry 1; Large Industry 2; SME 1	
Total amount including all entities' additional investments	<input type="text" value="3,500,000"/>	

Part B: Section 3.4 'Resources to be committed'

Overview of the additional investments

Beneficiary	Activity (Description of the Additional Investment)	Contribution to the BBI objectives	Estimated value public investment (if any)	Other EU funding for this Activity (if any)	Estimated private investment
Large industry 1	Biorefinery	KPI 1,2 & 6			€ 2,500,000
SME 1	Upscaling equipment	KPI 1,2 & 6			€ 500,000
Large industry 1	Additional new equipment	KPI 1,2 & 6			€ 500,000



Financial Regime in BBI JU Calls: take-away messages

- **BBI JU follows H2020 rules and financial regime, except:**
 - No BBI JU funding for large industries in RIA & CSA
- **BBI JU is a PPP and an industry-driven programme:** leveraging of private investments is crucial
- **Consortium own contribution** (in-kind, financial contributions and additional activities) is assessed during the evaluation
- **Read carefully the AWP 2019, GfA & FAQs** to help you prepare a successful proposal.

Thank you!

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WI-FI

user: xicb510 | password: Meeting

